IPS 84-362/84-662

Diplomacy and Statecraft

Fall 2017

Instructor: John J. Chin
Email: jjchin@andrew.cmu.edu
Office: Porter Hall 223H
Meeting Time: MW, 1:30-2:50 pm
Meeting Place: Porter Hall A19
Office Hours: M 2:50-4:20pm, or by appt.

Course Description

Diplomacy and statecraft are the driving forces behind foreign policy and international politics. In the first half of the course, the class surveys the evolution of great power politics from the Peace of Westphalia to the Global War on Terror and examines the history and practice of different types of statecraft, including military statecraft (e.g. deterrence and coercive diplomacy), diplomacy (e.g. crisis management and democracy promotion), and economic statecraft (e.g. trade, foreign aid, financial bailouts, and exchange rate policy). In the second half of the course, the class surveys contemporary diplomatic challenges, including challenges posed by human trafficking, global climate change, nuclear proliferation, and major powers such as Russia and China. Both in the classroom and in writing, students are encouraged to think, act, and write like diplomats and to appreciate diplomacy as a vocation. Throughout the course, students build skills in foreign policy memo writing, participate in various diplomatic role-playing simulations, and connect diplomatic trend lines with today’s international headlines.

Course Objectives

The main objective of the course is to enable students to understand the theory, history, practice, and challenges of diplomacy and statecraft. By the end of the course, students should be able to:

- Comprehend the dimensions of power and strategy in contemporary international politics
- Recognize major states(wo)men, key ideas, and milestones in modern diplomatic history
- Employ best practices and tactics of international negotiation, bargaining, and mediation
- Use history appropriately and judiciously to inform contemporary foreign policy debates
- Give an oral policy briefing / presentation on a contemporary diplomatic challenge
- Write an historically informed, persuasive, concise, and timely foreign policy memo

Required Books

Course Syllabus, Diplomacy and Statecraft

Course Requirements and Grades

1. **Quizzes** (36%). There will be a total of twelve in-class quizzes throughout the semester, approximately one quiz every 2-3 classes. Each quiz will take up part of a class session (typically 15-20 minutes) and will include short answer, quick response, and/or ID terms. The quizzes assess whether students have adequately engaged the required reading and other course material (whether it be lecture, discussion, or documentary). There will be no “surprises” for students who attend class and read studiously. Students can drop their three lowest quiz scores. The other nine quizzes will be worth 4% of the final grade each.

2. **Attendance & Participation** (16%): The course combines lectures, seminar discussions, documentary viewings, student debate, diplomatic simulations, and student presentations. Periodic exit slips and self-assessment surveys will aid in the instructor’s assessment. Excused absences require a doctor’s or dean’s note (or a similar form of documentation) justifying your absence. Since students are assigned specific roles in advance, unexcused absences from an in-class simulation will lower participation grades by a full letter grade.

3. **Memo Revision Assignment** (8%): Following the policy memo writing workshop on September 11, students will be given a long and poorly organized/formatted memo. Using strategies from the writing workshop, you must revise the memo to be more clear and concise. The revision is due in class on Monday, September 18 (submit via Canvas).

4. **Diplomatic Memoir Review Memo** (10%). Diplomats accumulate valuable lessons (and personal/political allegiances) in their careers. Students are required to read and write a 3-5 page memo reviewing the published memoir of a major diplomatic figure. Instructions and a pre-approved list of memoirs will be provided in a separate handout. Other diplomatic memoirs (including those not in English, if you have the requisite language skills) are permissible with prior approval of the instructor. Due Wednesday, October 18.

3. **Class Leader for a Day** (10%). Once during the semester, a graduate student or pair of undergraduate students will be designated as “class leaders” for seminar discussion. The student will coordinate with the professor in advance to read at least one outside reading on the topic (perhaps more) for that day, write a 1-page memo summarizing the key lessons from this outside reading that will be distributed in class, and give an in-class power point briefing of no more than about 10 minutes (plus Q & A) on their memo. Students will also be expected to help facilitate discussion or organize an activity. A sign-up sheet for students to select specific days/topics will be distributed in week 3. Graduate students may be asked to be student leaders twice (pending final enrollment).

4. **Policy Project** (20%): In lieu of a final exam, the policy project involves students gaining expertise on a specific contemporary diplomatic challenge and writing two memos to a relevant foreign policymaker. Students must get approval for topic proposals by October 25. The project is cumulative and intended to engage the student in a process of independent study, writing, and revision during the second half of the semester. Instructions for each written component along with samples of model memos will be distributed separately in handouts well in advance of each relevant due date.
A) **Backgrounder Memo** (10%). This three-page memo (no more than 1,000 words) must identify and motivate the policy problem and provide the foreign policymaker with the larger historical context and relevant background. A mandatory first draft is due on Monday, October 30. The final draft is due Wednesday, November 8.

B) **Policy Options Memo** (10%). This three-page memo (no more than 1,000 words) must lay out policy options for the foreign policymakers and analyze the costs and benefits of alternatives relative to maintaining current policy. An optional first draft is due Wednesday, November 29. The final draft is due on Friday, December 8.

Midterm course grades will be based on the memo revision assignment, the first six quizzes, the memoir review memo, and a student’s attendance and participation to date. Final course grades will be assigned according to the following grading scale:

A (92-100), B (83-91), C (74-82), D (65-73), and R (failing, < 65)

**Policy on Late Work**

*Quizzes.* There will be no make-up dates for quizzes for students who miss class. Students who know they cannot attend class on the day of a quiz [with cause] may be allowed to arrange to take the quiz early, but only if a mutually agreeable time for both student and instructor is found.

*Written assignments.* Meeting hard deadlines is an important skill for diplomats and foreign policymakers. Given the nature of diplomatic schedules, intelligence or policy memos that arrive late and are thus OBE (“overtaken by events”) are of no use. Unless otherwise specified, memos are due at 1:30pm on the relevant date. Papers will be penalized at a rate of 4% for the first hour late, 3% for the second hour late, 2% for the third hour late, 1% for the fourth hour late. Grades turned in after 5:30pm (a standard “COB” or close-of-business in government) can thus earn no more than 90% of total points. After 5:30pm, a 0.4167% deduction per hour will be assessed, so that papers turned in a day late can earn no higher than an 80, after 2 days a 70, after 3 days a 60, and after 4 days a 50. Papers submitted over four days late will not be read or graded.

**Classroom Technology Policies**

*The Movie Theater Rule,* or turn off your cell phones. Research on learning suggests that noises and images from electronic devices (e.g., laptops, tablets, smart phones, etc.) can distract students sitting nearby, resulting in lower student performance. For this reason, I ask that all mobile devices be put on silent and stowed away during class (no texting, checking e-mail, etc.). For those who prefer to take notes on other electronic devices, if you have a tablet or “2-in-1” laptop, I encourage you to take notes on it lying flat on your desk rather than in “tent mode” or on a standard laptop. I recommend the Notability App (which I use on my iPad) to take notes.

**Academic Integrity Policies**

Professional norms in government and academic norms sometimes clash. For example, State Department memos sparingly employ citations to “credit” original sources (often to conserve on
space and attention). Sometimes the identity of sources is withheld from policymakers, who may be expected to trust the credibility of an unknown intelligence source. By contrast, in this course students must conform to the University’s Policy on Academic Integrity (revised in April 2013). All written work in the course must be completed individually and employ citations adequately.

Students will be required to submit written assignments using Turnitin via Blackboard. Any student caught plagiarizing or cheating will fail the relevant assignment, and may be subject to additional disciplinary action, including failing the entire course. All infractions of academic integrity will also be reported to the Dean of Student Affairs and other relevant University authorities, in accordance with the University’s Procedures on Academic Disciplinary Actions.

Students are permitted (even encouraged) to make use of university academic support services. In accord with the University’s policy on academic integrity, let me know in writing when you use them for an assignment, either embedded in a footnote in the assignment or by e-mailing me.

**University Resources**

**Academic Development (AD):** Academic Development is the place to go for help with your academic work. They offer everything from academic counseling in study skills to peer tutoring. Services are designed to help both students who are having academic difficulties and those who just want to improve their performance. For more information, see [http://www.cmu.edu/acadev](http://www.cmu.edu/acadev).

**Global Communications Center (GCC):** GCC tutors can provide instruction on a range of communication topics and can help you improve your papers and presentations. The GCC is a free service, open to all students, and located in Hunt library. You can make tutoring appointments directly on the GCC website: [http://www.cmu.edu/gcc](http://www.cmu.edu/gcc). I encourage all students to sign up for the GCC communication workshop on “Strategies for Concise Writing” on Wednesday, August 30, from 5-6 p.m. in IDEATE Studio A. Online registration is required.

**Accommodations for Disabilities:** If you have learning needs that require some adaptations for you to succeed in this course, please inform me and contact Equal Opportunity Services and Disability Resources as soon as possible (http://www.cmu.edu/hr/eos/disability/students). I am happy to arrange to accommodate your learning needs based on their recommendations. Please do not wait until right before the first quiz or memo due date to arrange an accommodation.

**Take care of yourself.** Do your best to maintain a healthy lifestyle this semester by eating well, exercising, avoiding drugs and alcohol, getting enough sleep, and taking some time to relax. This will help you achieve your goals and cope with stress. All of us benefit from support during times of struggle. You are not alone. There are many helpful resources available on campus and an important part of the college experience is learning how to ask for help. Asking for support sooner rather than later is often helpful. If you or anyone you know experiences any academic stress, difficult life events, or feelings of anxiety or depression, we strongly encourage you to seek support. Counseling and Psychological Services (CaPS) is here to help. Call 412-268-2922 and visit their website at [http://www.cmu.edu/counseling/](http://www.cmu.edu/counseling/). Consider reaching out to a friend, faculty, or a family member you trust for help in getting connected to support services.
<table>
<thead>
<tr>
<th>Date</th>
<th>Topic / Activity</th>
<th>Reading # of pp.</th>
<th>Quiz</th>
</tr>
</thead>
<tbody>
<tr>
<td>M 8/28</td>
<td>Historical Knowledge, Power, and Statecraft</td>
<td>16</td>
<td></td>
</tr>
<tr>
<td>W 8/30</td>
<td>Electoral Interventions (Guest Lecture by Dov Levin)</td>
<td>14</td>
<td></td>
</tr>
<tr>
<td>M 9/4</td>
<td>N/A (Labor Day: No class)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>M 9/6</td>
<td>Getting to Yes in Diplomatic Negotiations</td>
<td>24</td>
<td></td>
</tr>
<tr>
<td>W 9/11</td>
<td>How to Write a Foreign Policy Memo: A Workshop</td>
<td>35</td>
<td>1</td>
</tr>
<tr>
<td>W 9/13</td>
<td>The Evolution of Cooperation</td>
<td>30</td>
<td></td>
</tr>
<tr>
<td>M 9/18</td>
<td>The Classical System of Diplomacy, 1815-1914</td>
<td>36</td>
<td></td>
</tr>
<tr>
<td>W 9/20</td>
<td>The Diplomatic Revolution Begins, 1919-1939</td>
<td>31</td>
<td>2</td>
</tr>
<tr>
<td>M 9/25</td>
<td>A New Post-War System of Security</td>
<td>39</td>
<td></td>
</tr>
<tr>
<td>W 9/27</td>
<td>The Cold War</td>
<td>42</td>
<td>3</td>
</tr>
<tr>
<td>M 10/2</td>
<td>Grand Strategy in an Evolving International System</td>
<td>56</td>
<td></td>
</tr>
<tr>
<td>W 10/4</td>
<td>Deterrence</td>
<td>38</td>
<td>4</td>
</tr>
<tr>
<td>M 10/9</td>
<td>Coercive Diplomacy</td>
<td>41</td>
<td></td>
</tr>
<tr>
<td>W 10/11</td>
<td>Crisis Management</td>
<td>36</td>
<td>5</td>
</tr>
<tr>
<td>M 10/16</td>
<td>The Ethics of Diplomacy</td>
<td>50</td>
<td></td>
</tr>
<tr>
<td>W 10/18</td>
<td>Democracy Promotion</td>
<td>45</td>
<td>6</td>
</tr>
<tr>
<td>M 10/23</td>
<td>Combatting Human Trafficking</td>
<td>46</td>
<td></td>
</tr>
<tr>
<td>W 10/25</td>
<td>Climate Diplomacy</td>
<td>25</td>
<td>7</td>
</tr>
<tr>
<td>M 10/30</td>
<td>Trade Diplomacy</td>
<td>38</td>
<td></td>
</tr>
<tr>
<td>W 11/1</td>
<td>Carrots and Sticks: Foreign Aid and Sanctions</td>
<td>41</td>
<td>8</td>
</tr>
<tr>
<td>M 11/6</td>
<td>International Financial Crises and Bailout Diplomacy</td>
<td>64</td>
<td></td>
</tr>
<tr>
<td>W 11/8</td>
<td>Currency Wars and Exchange Rate Diplomacy</td>
<td>46</td>
<td>9</td>
</tr>
<tr>
<td>M 11/13</td>
<td>The War on Terror, or Defeating Radical Islam</td>
<td>43</td>
<td></td>
</tr>
<tr>
<td>W 11/15</td>
<td>Nuclear Diplomacy and the Non-Proliferation Treaty</td>
<td>42</td>
<td>10</td>
</tr>
<tr>
<td>M 11/20</td>
<td>Rogue Diplomacy: Dealing with “Axes of Evil”</td>
<td>46</td>
<td></td>
</tr>
<tr>
<td>W 11/22</td>
<td>N/A (Thanksgiving Holiday: No class)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>M 11/27</td>
<td>The North Korean Nuclear Threat (Simulation)</td>
<td>27</td>
<td></td>
</tr>
<tr>
<td>W 11/29</td>
<td>The Reset: Dealing with a Resurgent Russia</td>
<td>35</td>
<td>11</td>
</tr>
<tr>
<td>M 12/4</td>
<td>The Pivot: Dealing with a Rising China</td>
<td>49</td>
<td></td>
</tr>
<tr>
<td>W 12/6</td>
<td>World Order &amp; The Future of Diplomacy</td>
<td>36</td>
<td>12</td>
</tr>
</tbody>
</table>
Course Syllabus, Diplomacy and Statecraft

Course Readings [daily and weekly page range or total for required readings in brackets]:

Week 1: Introduction to Diplomacy and Statecraft [30 pp.]
M 8/28: Historical Knowledge, Power, and Statecraft [16 pp.]

  Req. Reading: 1) Lauren et al, Force & Statecraft, chapter 7 [pp. 147-162]

W 8/30: Electoral Interventions (Guest Lecture by Dov Levin) [14 pp.]


Week 2: Diplomatic Negotiation Strategies [24 pp.]
M 9/4: Labor Day, No class or reading

W 9/6: Getting to Yes in Diplomatic Negotiations [24 pp.]

  Req. Reading: 1) Lauren et al, Force & Statecraft, chapter 8 [pp. 163-186]

Week 3: Policy Writing Workshop and Cooperation Theory [65 pp.]
M 9/11: How to Write a Foreign Policy Memo: A Workshop [35 pp.]

  Req. Reading: 1) Henry Kissinger Vietnam War Memo [9 pp.]
  2) George W. Ball Vietnam War Memo, April 21, 1965, Doc. 267 [6 pp.]
  3) Colin Powell Torture Memo [5 pp.]
  4) Richard Haass Iraq War Memo [15 pp.]


  Req. Reading: 1) Lauren et al, Force & Statecraft, chapter 1 [pp. 3-23]
  2) Axelrod (1984), The Evolution of Cooperation [9 pp.]

Week 4: European Diplomacy from Westphalia to World War II [67 pp.]

  Req. Reading: 1) Lauren et al, Force & Statecraft, chapter 2 [pp. 24-45]
  2) Richard Haass, A World In Disarray, chapter 1 [pp. 17-30 only]

W 9/20: The Diplomatic Revolution Begins, 1919-1939 [31 pp.]

  Req. Reading: 1) Lauren et al, Force & Statecraft, chapter 3 [pp. 46-70]
  2) Richard Haass, A World In Disarray, chapter 1 [pp. 30-35 only]
Course Syllabus, Diplomacy and Statecraft

Week 5: Cold War Diplomacy [81 pp.]


Req. Reading: 1) Lauren et al, Force & Statecraft, chapter 4 [pp. 71-91]
            2) Richard Haass, A World In Disarray, chapter 3 [pp. 55-73]

W 9/27: The Cold War [42 pp.]

Req. Reading: 1) Lauren et al, Force & Statecraft, chapter 5 [pp. 92-115]
            2) Richard Haass, A World In Disarray, chapter 2 [pp. 37-54]

Week 6: Grand Strategy and Deterrence [104 pp.]

M 10/2: Grand Strategy in an Evolving International System [56 pp.]

Req. Reading: 1) Lauren et al, Force & Statecraft, chapter 6 [pp. 116-146]
            2) Richard Haass, A World In Disarray, chapter 4 [pp. 77-101]

W 10/4: Deterrence [38 pp.]

            2) Haass, A World, ch. 5 [pp. 140-150 only] and ch. 10 [pp. 245-248 only]

Week 7: Coercive Diplomacy and Crisis Management [77 pp.]

M 10/9: Coercive Diplomacy [41 pp.]

Req. Reading: 1) Lauren et al, Force & Statecraft, chapter 10 [pp. 210-233]
            2) Richard Haass, A World In Disarray, chapter 6 [pp. 178-194 only]

W 10/11: Crisis Management [36 pp.]

Req. Reading: 1) Lauren et al, Force & Statecraft, chapter 11 [pp. 234-258]
            2) Richard Haass, A World In Disarray, chapter 7 [pp. 195-205]

Week 8: The Ethics of Diplomacy and Democracy Promotion [96 pp.]

M 10/16: Ethics of Diplomacy [50 pp.]

            2) Haass, A World, ch. [pp. 103-119 only] and ch. 10 [pp. 233-238 only]

W 10/18: Democracy Promotion [45 pp.]

Req. Reading: 1) Mitchell (2016), The Democracy Promotion Paradox, pp. 1-26/177-192
Course Syllabus, *Diplomacy and Statecraft*

2) Richard Haass, *A World In Disarray*, chapter 10 [pp. 229-232 only]

**Week 9: Transnational Diplomatic Challenges [71 pp.]**

M 10/23: Human Trafficking [46 pp.]


W 10/25: Climate Diplomacy [25 pp.]

3) Haass, *A World*, ch. 5 [pp. 138-140 only], ch. 10 [pp. 244-245 only]

**Week 10: Economic Statecraft [79 pp.]**

M 10/30: Trade Diplomacy: Globalization and Its Discontents [38 pp.]

*Req. Reading:* 1) Blustein (2009), *Misadventures of MFN*, chapter 2 [pp. 17-40]

W 11/1: Carrots and Sticks: Foreign Aid and Sanctions [41 pp.]

3) Uri Friedman (2012), “Smart Sanctions” [pp. 28]

**Week 11: Financial Diplomacy [100 pp.]**

M 11/6: International Financial Crises and Bailout Diplomacy [64 pp.]

*Req. Reading:* 1) Robert Rubin (2003), *In An Uncertain World*, chapter 1 [pp. 3-38]

W 11/8: Currency Wars and Exchange Rate Diplomacy [46 pp.]


**Week 12: The War on Terror and Nuclear Diplomacy [82 pp.]**

M 11/13: The War and Terror, or Defeating Radical Islam [40 pp.]
Course Syllabus, Diplomacy and Statecraft

2) Haass, A World, ch. 5 [pp. 119-122 only] and ch. 6 [pp. 151-177 only]

W 11/15: **Nuclear Diplomacy and the Non-Proliferation Treaty** [42 pp.]

2) Haass, A World, ch. 5 [pp. 122-138 only] and ch. 10 [pp. 238-243 only]

**Week 13: Rogue Diplomacy** [46 pp.]

M 11/20: **Rogue Diplomacy** [46 pp.]

2) Paul Sharp (2009), ch. 10 on “Rogue State Diplomacy” [pp. 195-221]
3) Richard Haass, A World In Disarray, chapter 11 [pp. 268-283 only]

W 11/22: **Thanksgiving Holiday, No class or reading**

**Week 14: North Korea and Russia** [62 pp.]

M 11/27: **North Korean Nuclear Crisis** (simulation) [27 pp.]

*Req. Reading:* 1) Review material on Model Diplomacy Webpage (~18 pp.)
3) Perry (2017), “There is a Deal to Be Made with North Korea” [pp. 6-8]

W 11/29: **The Reset: Dealing with a Resurgent Russia** [35 pp.]

4) Richard Haass, A World In Disarray, chapter 10 [pp. 245-255]

**Week 15: China, World Order, and The Future** [85 pp.]

M 12/4: **The Pivot: Dealing with a Rising China** [49 pp.]

4) Haass, A World, ch. 9 [pp. 215-224] and ch. 11 [pp. 257-268 only]

W 12/6: **World Order & The Future of Diplomacy** [36 pp.]

Course Reading List:


Friedman, Uri. 2012. ""Smart Sanctions"." Foreign Policy (193):28.


