

Which Police Departments Want Reform? Barriers to Evidence-Based Policymaking

Online Appendix

Contents

A1 Sampling Procedure	1
A1.1 Criteria for the N.J. and National Samples	1
A1.2 Matched Pair Design	2
A1.3 Protocol in the event of returned emails and letters	2
B2 Experimental Protocol and Treatments	4
B2.1 New Jersey Treatments	5
B2.2 New Jersey “Know more” website content	6
B2.3 New Jersey Debrief	7
B2.4 National Sample Treatments	8
B2.5 National “Know more” website content	9
B2.6 National Study Jersey Debrief	9
C3 Data on Police Agencies	10
C3.1 U.S. Census Data	10
C3.2 Coding of agency covariates	11
D4 Random assignment balance tests	12
E5 Tabular Results	15
E5.1 Geographic Distribution of Sample Agencies	15
E5.2 Regression Estimates	17
F6 Pre-registration plan for national experiment	23
G7 Interaction Model Results	29

H8Sensitivity Analysis	31
H9Alternative Mechanisms	33
I10Alternative Coding	34

A1 Sampling Procedure

A1.1 Criteria for the N.J. and National Samples

The agencies contacted in the N.J. experiment were drawn from the list of agencies for which use-of-force data was tabulated by nj.com (nj.com, 2019). Excluding the N.J. State Police, which we also contacted, these 460 agencies represent close to 90% of local police and sheriff’s agencies in the state.

The research team manually matched the agencies with contact information purchased from the National Public Safety Information Bureau (<https://www.safetysource.com/index.cfm?>). Roughly 150 agencies that did not list contact information were searched online or contacted by phone or email through the county clerk or agency itself, allowing us to contact all agencies. ¹

The agencies contacted in the national experiment were drawn from data on agencies which report crime data to the FBI through the Uniform Crime Reporting (UCR) program, roughly 23,000 agencies between 2013 and 2017. Before assigning treatment, we filtered these agencies according to several criteria. We excluded agencies: from New Jersey; with zero population in their jurisdiction according to the FBI; which were not local police and sheriff’s departments; which report crime data through another nearby “parent” agency; which reported a mean five-year (2013-2017) violence crime clearance rate that fell outside the interval [0,1] (those outside the interval likely contained serious data errors); and which cleared zero crimes of any type in a given year, as these agencies are likely not reporting data. We also screened out the Long Beach, CA Police Department from the national sample prior to random assignment due to the aforementioned mistake in the pilot study. After applying these filters, we were left with about 9,800 agencies from which to sample. We then set about the task of pairing these 9,800 agencies to a list of contact information for police chiefs and sheriffs purchased from Power Almanac (<https://www.poweralmanac.com/>). In hopes of minimizing undeliverable emails, we changed our data source because Power Almanac updates the contact information on a continuing basis, with all agencies receiving updates twice annually. In the event a direct email was not available for a chief or sheriff, we used general agency emails or contact information for a lower level employee. Power Almanac is a private firm that continuously updates contact information for municipal government agencies. If agency contact information is not included in the Power Almanac database it is very likely that the agency does not publicize its email address. Because of the

¹After validating the contact data we dropped Long Beach Township, N.J. Police Department from the sample because we mistakenly contacted Long Beach, CA Police Department. We manually verified this was the only such mistake.

scale of the data, we employed the probabilistic matching algorithm outlined in Enamorado, Fifield and Imai (2017) using the R package `fastLink` to merge agency data with contact information. We employed this algorithm separately for police and sheriff’s agencies, and then matched based on code, agency name and street address listed in the UCR data and Power Almanac data. Following the match, we discarded agencies which did not have at least a 95% probability of being a correct match according to the model developed in Enamorado, Fifield and Imai (2017), as well as agencies for whom contact information could not be independently obtained by the research team via web searches. Following these steps, 7,702 agencies remained in sample, which oversee jurisdictions that are home to roughly 60% of the U.S. population according to FBI data. We drew 2,500 agencies from these 7,702 and assigned treatment based on the matched pair procedure we describe below.

A1.2 Matched Pair Design

To maximize statistical power, we implemented a matched pair design in the national experiment. The random assignment procedure went as follows. We first divided our sample of roughly 7,700 agencies into quartiles based on the population sizes of their jurisdictions. We then random drew half of the desired sample ($2,500 \text{ agencies}/2$) from this population and assigned them to treatment. For each treated case, we identified a set of possible control cases that belonged to the same population quartile and U.S. same state. If such a set existed, we then randomly sampled one case from the possible control set, paired it with the treated case, and assigned it to the control condition. The intent of the conditional random assignment in this design, (as opposed to complete random assignment across the pooled sample) is that state and population size are prognostic of the dependent variable (response to our emails). By including indicators for these matched pairs during estimation, we thus sought to shrink the standard errors in our regressions by explaining variation in the outcome Gerber and Green (2012).

A1.3 Protocol in the event of returned emails and letters

Emails were sent to the list of purchased and manually collected NJ email addresses. Many emails were bounced back as undeliverable due to retirements, promotions or other organizational changes. In these cases, the research team made contact with either county clerks or police agencies again or searched for addresses online to obtain correct addresses. If our messages were blocked by SPAM filters, we relied on postal mail to deliver our treatments. In New Jersey there were no agencies who did not receive either our email or postal letter.

For the national study we sent an initial round of emails to the set of purchased email

addresses. When we received notice that an email address had changed or that a agency head had retired we updated our contact information and sent out a new round of emails. As with New Jersey, some emails were blocked by SPAM filters. There were three agencies who we were unable to contact (by email or postal letter). These three agencies and their corresponding matched agency were dropped from our analysis.

B2 Experimental Protocol and Treatments

1. Agencies were emailed on a Monday. Responses were recorded either directly through a reply to our email message or by monitoring visits to our project website from specially constructed links in each email.
2. Agencies were emailed on a Monday. Responses were recorded either directly through a reply to our email message or by monitoring visits to our project website from specially constructed links in each email.
3. Any agency that did not respond to the first email was sent a second email eight days after the first email (the following Tuesday).
4. Any agency that did not respond to the second email was sent a third email eight days after the second email (the following Wednesday).
5. A paper letter with content similar to the emails (direct links were replaced with a URL) was then sent to be mailed eight days after the third email to any agency from whom we had not received a response.
6. A debrief email was sent the week of 11/25/2019 to all contacted agencies. A mailed version of the debrief was also sent.

Agencies could respond in three primary ways:

- **Yes:** Measured by clicking the yes link our emails or the yes button on our project website. Or by agreeing by direct email.
- **No:** Either explicitly measured through a no response on by link or on our website, or implicitly measured from a lack of a response to our messages. Or by declining by direct email.
- **“Learn more”:** Measured by link or on our website. This page displayed additional information about the project and offered an additional chance to say “yes” or “no” to our request. Or by asking for more information by direct email

We are currently in the process of following up with agencies who expressed interest in a possible collaboration and are in negotiations with several over the details of a joint project. Data collection for the national study ended the last week of November 2019.

B2.1 New Jersey Treatments

The following values were populated with data we collected and/or computed for each agency:

- **\$name**: the name of the lead law enforcement officer
- **\$events**: use of force incidents per officer between the years 2012 and 2016
- **\$rank**: computed agency rank

In the control condition the ranking and confidentiality treatments were omitted. In the confidentiality condition the ranking treatment was omitted. In the ranking condition the confidentiality treatment was omitted. In the ranking and confidential condition both treatments were displayed in a random order.

Dear \$name:

We are writing to invite you to collaborate on a research project conducted by researchers at <anonymized for review> and <anonymized for review> that aims to make both citizens and officers safer by reducing the frequency of violence during police-citizen interactions.

In exchange for your participation in a research project **we are offering to provide free consulting and data analysis services** that we believe could benefit your agency. We would also be willing to help your agency conduct an opinion survey of citizens in your jurisdiction to help identify areas where your agency could improve its performance. We are not seeking funds and will work pro bono.

[Ranking treatment: According to publicly available data (more information) your agency had an average of **\$events** use of force incidents per officer between the years 2012 and 2016. By this metric, your agency ranked **\$rank** out of 464 law enforcement agencies in New Jersey during this time (where a rank of 1 indicates the highest rate of using force).]

[Confidentiality treatment: If you agree to collaborate with us on a research project, the names of your agency and personnel will be kept confidential in any published research that we produce.]

We are not asking for a firm commitment now, but if you are interested in discussing a potential collaboration further, please click the appropriate link below and we will get in touch to continue the conversation.

- Yes, I am interested in discussing a research collaboration.
- I am not sure, but I would like more information
- No, I am not interested in discussing a research collaboration.

By way of background, we are non-partisan political scientists at <anonymized for review> and <anonymized for review> who received our PhDs from <anonymized for review>. We are trained in statistics, data analysis, experimental design and policy evaluation. We are seeking to partner with law enforcement agencies to help develop effective ways to improve police-citizen interactions, and are writing to gauge interest in conducting a collaborative research project with the aim of producing published, peer-reviewed research that could benefit the law enforcement community at large.

Please feel free to email us with any questions. Thank you for your consideration.

Sincerely,

<anonymized for review>

B2.2 New Jersey “Know more” website content

Thank you for your interest

By way of further introduction, we are faculty members in the Political Science/Government departments at <anonymized for review> and <anonymized for review>. We specialize in quantitative research on public agency performance and of public opinion. We would be interested in collaborating with your agency to conduct any of the following types of research

projects, with the aim of publishing peer-reviewed research that can benefit your agency as well as the law enforcement community at large.

A public opinion survey assessing public perceptions of your agency's performance. This survey may serve to help you identify areas in which citizens perceive your agency can improve service.

A program evaluation of a new tactic. For example, we are interested in evaluating the effects of:

- Police-worn body cameras on police use of force
- The use of tactical teams on crime and agency reputation

Response items:

Yes, I am interested in discussing a research collaboration.

No, I am not interested in discussing a research collaboration.

B2.3 New Jersey Debrief

Dear \$name:

We recently contacted your agency with an offer to discuss a possible research collaboration with faculty members at <anonymized for review> and <anonymized for review>. We are writing to supply some additional information. Depending on the version of the email you saw, we may or may not have included information on your agency's past performance (possibly relative to other agencies), or an offer of anonymity for your agency in any published findings. The purpose of this information was to gauge how various features of our message affected response rates. However, we note that our emails contained no false information and your responses will be kept confidential. If you have any questions or concerns, please feel free to contact the principal investigators <anonymized for review>. You can also contact <anonymized for review> Institutional Review Board at <anonymized for review>. Sincerely,

<anonymized for review>

B2.4 National Sample Treatments

The following values were populated with data we collected and/or computed for each agency:

- **\$title**: the title of the lead law enforcement officer
- **\$name**: the name of the lead law enforcement officer
- **\$cleared**: total cleared violent crimes occurring between 2013 and 2017
- **\$total**: number of violent crimes between 2013 and 2017
- **\$rank**: computed agency rank

In the control condition the ranking treatment was omitted. The full letter was shared in the treatment condition.

Dear \$title \$name:

We are writing to invite you to collaborate on a research project conducted by researchers at <anonymized for review> and <anonymized for review> that aims to study the efficacy of policing strategies designed to serve the interests of both officers and citizens.

In exchange for your participation in a research project **we are offering to provide free consulting and data analysis services** that we believe could benefit your agency. We would also be willing to help your agency conduct an opinion survey of citizens in your jurisdiction to help identify areas where your agency could improve its performance. We are not seeking funds and will work pro bono.

[Ranking treatment: According to publicly available data (more information) your agency cleared **\$cleared** out of **\$total** violent crimes between 2013 and 2017. By this metric, your agency ranked **\$rank** out of approximately 2,500 law enforcement agencies we analyzed during this time, where a rank of 1 indicates the largest ("best") proportion of cleared violent crimes.]

We are not asking for a firm commitment now, but if you are interested in discussing a potential collaboration further, please click the appropriate link below and we will get in touch to continue the conversation.

- Yes, I am interested in discussing a research collaboration.
- I am not sure, but I would like more information
- No, I am not interested in discussing a research collaboration.

By way of background, we are non-partisan political scientists at <anonymized for review> and <anonymized for review> who received our PhDs from <anonymized for review>. We are trained in statistics, data analysis, experimental design and policy evaluation. We are seeking to partner with law enforcement agencies to help develop effective ways to improve police-citizen interactions, and are writing to gauge interest in conducting a collaborative research project with the aim of producing published, peer-reviewed research that could benefit the law enforcement community at large.

Please feel free to email us with any questions. Thank you for your consideration.

Sincerely,

<anonymized for review>

B2.5 National “Know more” website content

Thank you for your interest

By way of further introduction, we are faculty members in the Political Science/Government departments at <anonymized for review> and <anonymized for review>. We specialize in quantitative research on public agency performance and of public opinion. We would be interested in collaborating with your agency to conduct any of the following types of research projects, with the aim of publishing peer-reviewed research that can benefit your agency as well as the law enforcement community at large.

A public opinion survey assessing public perceptions of your agency’s performance. This survey may serve to help you identify areas in which citizens perceive your agency can improve service.

A program evaluation of a new tactic. For example, we are interested in evaluating the effects of:

- Police-worn body cameras on police use of force
- The use of tactical teams on crime and agency reputation

Response options:

Yes, I am interested in discussing a research collaboration.

No, I am not interested in discussing a research collaboration.

B2.6 National Study Jersey Debrief

Dear \$title \$name:

We recently contacted your agency with an offer to discuss a possible research collaboration with faculty members at <anonymized for review> and <anonymized for review>. We are writing to supply some additional information. Depending on the version of the email you saw, we may or may not have included information on your agency’s past performance (possibly relative to other agencies). The purpose of this information was to gauge how various features of our message affected response rates. However, we note that our emails contained no false information and your responses will be kept confidential. If you have any questions or concerns, please feel free to contact the principal investigators <anonymized for review>. You can also contact <anonymized for review> Institutional Review Board at <anonymized for review>.

Sincerely,

<anonymized for review>

C3 Data on Police Agencies

We merged data on contacted agencies with data on crime (Kaplan, 2019), fatal officer-involved shootings (Sullivan et al., 2018), agency personnel (DOJ, 2017), county-level election results (Data and Lab, 2018), and local U.S. Census records (Census, 2017).

C3.1 U.S. Census Data

We rely on U.S. Census data from the 2017 American Community Survey (Census, 2017). We sought to match agencies with demographic data measured at the geographic level of their jurisdictions. County agencies were paired with county census data, including parishes in Louisiana. Cities, towns, boroughs and other sub-county agencies were paired with either Census Designated Place (CDP) data, or county subdivision Census data, depending on how the Census classified localities. Five regional agencies which oversee multiple localities were paired with the sum (for count variables) or the means (for measures such as median household income) of Census data from those localities.

The analysis in Figure 2 is generated by separate bivariate regressions. The number of observations varies due to partial missing data across covariates. With the exception of census measures of percent of a jurisdiction living in poverty among the Asian American, “other race”, American Indian and native Hawaiian categories, all variables display less than 10% missing data.

Table C1: Types of Census Data Paired with Agencies

	county	county subdivision	place	regional pd
No. of Agencies	616	449	1874	5

C3.2 Coding of agency covariates

Table C2: Coding Rules and Data Sources for Agency Covariates in Figure 2

variable	coding/source
% "Other" race non-Hispanic	census data
% "Other" race non-Hispanic in poverty	census data
% "Other" race unemployed	census data
% African American	census data
% African Americans in poverty	census data
% Asian	census data
% Asian above poverty line	census data
% Hispanic	census data
% Hispanics in poverty	census data
%Male	census data
Median household income	census data
% Multiracial in poverty	census data
% Multiracial non-Hispanic	census data
% Native American and Alaskan native	census data
% Native American and Alaskan native in poverty	census data
% Native Hawaiian and Pacific Islander	census data
% Native Hawaiian and Pacific Islander in poverty	census data
% without health insurance	census data
Population	census data
% < 18 in poverty	census data
% > 25 with high school +	census data
% >25 with bachelors degree	census data
Population density	census data
% foreign born	census data
% non-citizen foreign born	census data
% Vacant housing units	census data
% White	census data
% Whites in poverty	census data
Median age	census data
Median age of male population	census data
Male civilian employees per capita	employees in 2014 according to Law Enforcement Agency Roster (2016) count / total employees
Shootings per capita	fatal officer-involved shootings according to Washington Post (2018) / census population for jurisdiction
Male officers per capita	male sworn officers in 2014 according to Law Enforcement Agency Roster (2016) count / total employees
Assaults per capita	mean assaults 2013-2017 (FBI) / census population for jurisdiction
Attempted rapes per capita	mean attempted rapes 2013-2017 (FBI) / census population for jurisdiction
Burglaries per capita	mean burglaries 2013-2017 (FBI) / census population for jurisdiction
Mean clearance for property crimes per capita	mean cleared index property crimes 2013-2017 (FBI) / census population for jurisdiction
Mean clearance for violent crime per capita	mean cleared index violent crimes 2013-2017 (FBI) / census population for jurisdiction
Mean clearance for murders per capita	mean cleared murders 2013-2017 (FBI) / census population for jurisdiction
Mean shootings per capita	mean fatal officer-involved shootings according to Washington Post (2015-2018) / census population for jurisdiction
Rape by force per capita	mean forcible rape 2013-2017 (FBI) / census population for jurisdiction
Manslaughters per capita	mean manslaughter 2013-2017 (FBI) / census population for jurisdiction
Vehicle thefts per capita	mean motor vehicle thefts 2013-2017 (FBI) / census population for jurisdiction
Murders per capita	mean murders 2013-2017 (FBI) / census population for jurisdiction
Officers killed in an accident per capita	mean officers accidentally killed 2013-2017 (FBI) / census population for jurisdiction
Officers assaulted per capita	mean officers assaulted 2013-2017 (FBI) / census population for jurisdiction
Officers killed by felon per capita	mean officers feloniously killed 2013-2017 (FBI) / census population for jurisdiction
Rapes per capita	mean rapes 2013-2017 (FBI) / census population for jurisdiction
Robberies per capita	mean robberies 2013-2017 (FBI) / census population for jurisdiction
All crimes per capita	mean total crimes 2013-2017 (FBI) / census population for jurisdiction
Trump vote share (two party)	MIT Election Data \& Science Lab
Police employees per capita	total employees 2014 according to Law Enforcement Agency Roster (2016) count / census population for jurisdiction

D4 Random assignment balance tests

Table D1: New Jersey Balance

	<i>Dependent variable:</i>		
	Confidentiality Treatment	Ranking Treatment	Ranking and Confidentiality Treatment
	(1)	(2)	(3)
Intercept	0.14 (0.22)	0.28 (0.23)	0.32 (0.23)
Population	-0.0001 (0.0000)	-0.0000 (0.0000)	0.0000 (0.0000)
Median Age	0.004 (0.004)	-0.002 (0.004)	-0.0001 (0.004)
Male population	0.0001* (0.0001)	0.0000 (0.0001)	-0.0001 (0.0000)
Foreign born population	-0.0000 (0.0000)	0.0000 (0.0000)	-0.0000 (0.0000)
	(0.0000)	(0.0000)	(0.0000)
Total crimes	0.0001 (0.0001)	-0.0000 (0.0001)	0.0000 (0.0001)
Officers assaulted	-0.0002 (0.004)	-0.002 (0.003)	0.005 (0.003)
White population	0.0000 (0.0000)	0.0000 (0.0000)	-0.0000 (0.0000)
Native American/Alaskan Native population	-7.97 (8.69)	-7.96 (8.62)	8.22 (12.20)
Asian Population	0.31 (0.31)	-0.20 (0.24)	0.09 (0.30)
African American population	0.13 (0.27)	0.37 (0.28)	-0.26 (0.20)
Hawaiian and Pacific Islander population	15.29 (8.85)	-2.49 (7.34)	1.53 (11.98)
Hispanic Population	0.20 (0.21)	-0.14 (0.19)	0.10 (0.21)
Murder clearance rate	-0.07 (0.04)	0.05 (0.04)	-0.03 (0.03)
Violent crime clearance rate	0.004** (0.001)	-0.001 (0.001)	-0.001 (0.001)
Property crime clearance rate	-0.001 (0.0004)	0.0004 (0.0005)	-0.0002 (0.0003)
Trump vote share (two party)	-0.26 (0.19)	0.11 (0.21)	-0.11 (0.19)
Observations	460	460	460
R ²	0.05	0.01	0.02
F Statistic (df = 17; 442)	1.43	0.38	0.58

Note:

*p<0.05; **p<0.01; ***p<0.001

Table D2: National Study Balance

	<i>Dependent variable:</i>
	Assigned to Ranking Treatment
Intercept	1.50*** (0.08)
Population	-0.0000 (0.0000)
Median Age	0.0003 (0.001)
Male population	0.0000 (0.0000)
Foreign born population	0.0000 (0.0000)
	(0.0000)
Total crimes	0.00 (0.0000)
Officers assaulted	-0.001 (0.0005)
White population	-0.0000 (0.0000)
Native American/Alaskan Native population	-0.06 (0.28)
Asian Population	-0.03 (0.30)
African American population	-0.04 (0.07)
Hawaiian and Pacific Islander population	-0.34 (2.84)
Hispanic Population	0.04 (0.07)
Murder clearance rate	0.005 (0.01)
Violent crime clearance rate	-0.0000 (0.0001)
Property crime clearance rate	-0.0000 (0.0001)
Trump vote share (two party)	-0.0002 (0.001)
Observations	2,482
R ²	0.002
F Statistic	0.27 (df = 17; 2464)
<i>Note:</i>	*p<0.05; **p<0.01; ***p<0.001

E5 Tabular Results

E5.1 Geographic Distribution of Sample Agencies

Table E1: Figure 1: Sampled agencies by state

State	Number of sampled agencies
AL	66
AR	52
AZ	24
CA	92
CO	42
CT	34
DE	4
FL	74
GA	94
HI	2
IA	54
ID	32
IN	32
KS	70
KY	58
LA	32
MA	88
MD	24
ME	36
MI	118
MN	78
MO	116
MS	10
MT	22
NE	16
NC	74
ND	10
NH	32
NJ	460
NM	30
NV	12
NY	68
OH	110
OK	34
OR	32
PA	154
RI	12
SC	44
SD	20
TN	78
TX	222
UT	24
VA	56
VT	18
WA	56
WI	86
WV	24
WY	18
AK	0
IL	0

E5.2 Regression Estimates

Table E2: Estimates from Figure 2: Correlates of responding

Variable	Estimate	Uncorrected p-value	Benjamini-Hochberg corrected p-value
Officers assaulted per capita	-8.39	0.01	0.39
Population	0.19	0.91	0.94
%Male	0.89	0.77	0.94
% White	-2.48	0.54	0.94
% African American	2.97	0.37	0.94
% Native American and Alaskan native	1.11	0.70	0.94
% Asian	6.23	0.31	0.94
% Native Hawaiian and Pacific Islander	1.83	0.85	0.94
% "Other" race non-Hispanic	-0.93	0.33	0.94
% Multiracial non-Hispanic	3.42	0.48	0.94
% Hispanic	-2.31	0.29	0.94
% > 25 with high school +	-5.50	0.15	0.94
% >25 with bachelors degree	5.85	0.20	0.94
% "Other" race unemployed	-0.50	0.87	0.94
% Whites in poverty	-4.85	0.13	0.94
% African Americans in poverty	1.88	0.57	0.94
% Native American and Alaskan native in poverty	3.56	0.30	0.94
% Asian above poverty line	-1.37	0.68	0.94
% Native Hawaiian and Pacific Islander in poverty	-3.21	0.36	0.94
% "Other" race non-Hispanic in poverty	-4.01	0.29	0.94
% Multiracial in poverty	-3.79	0.38	0.94
% Hispanics in poverty	-3.35	0.48	0.94
% Vacant housing units	-1.62	0.71	0.94
Male officers per capita	-4.42	0.36	0.94
Male civilian employees per capita	3.22	0.41	0.94
Officers killed by felon per capita	-2.62	0.31	0.94
Officers killed in an accident per capita	-0.52	0.53	0.94
Murders per capita	3.84	0.51	0.94
Manslaughters per capita	-1.23	0.73	0.94
Rapes per capita	1.35	0.79	0.94
Rape by force per capita	1.54	0.76	0.94
Attempted rapes per capita	-1.84	0.73	0.94
Robberies per capita	0.02	0.99	0.99
Assaults per capita	0.57	0.91	0.94
Burglaries per capita	-1.17	0.81	0.94
Vehicle thefts per capita	1.53	0.67	0.94
All crimes per capita	1.02	0.83	0.94
Mean clearance for murders per capita	4.08	0.62	0.94
Mean clearance for violent crime per capita	-0.87	0.87	0.94
Mean clearance for property crimes per capita	1.57	0.72	0.94
Median age	-1.32	0.64	0.94
Median age of male population	-1.05	0.72	0.94
Median household income	1.88	0.73	0.94
Trump vote share (two party)	-8.47	0.13	0.94

Table E3: Estimates from Figure 3: New Jersey Regression Results

	<i>Dependent variable:</i>		
	Agreed to Discuss Collaboration		
	(1)	(2)	(3)
Intercept	0.13*** (0.03)	0.21** (0.07)	0.19** (0.06)
Confidential Condition	-0.02 (0.04)	-0.14 (0.09)	-0.09 (0.08)
Ranking Condition	-0.09* (0.04)	-0.17* (0.08)	-0.16* (0.06)
Ranking and Anonymous Condition	-0.04 (0.04)	-0.04 (0.10)	-0.02 (0.09)
Numeric Rank		-0.0003 (0.0003)	
Confidential Condition X Numeric Rank		0.001 (0.0003)	
Ranking Condition X Numeric Rank		0.0004 (0.0003)	
Ranking and Anonymous Condition X Numeric Rank		0.0000 (0.0003)	
Middle Rank Tercile			-0.08 (0.08)
Top Rank Tercile			-0.10 (0.08)
Confidential Condition X Middle Rank Tercile			0.08 (0.11)
Ranking Condition X Middle Rank Tercile			0.11 (0.09)
Ranking and Anonymous Condition X Middle Rank Tercile			-0.02 (0.11)
Confidential Condition X Top Rank Tercile			0.14 (0.11)
Ranking Condition X Top Rank Tercile			0.13 (0.09)
Ranking and Anonymous Condition X Top Rank Tercile			-0.01 (0.11)
Observations	462	462	462
R ²	0.01	0.02	0.03
<i>Note:</i>	*p<0.05; **p<0.01; ***p<0.001		

Robust standard errors reported

Table E4: Estimates from Figure 3: National Study Regression Results

	<i>Dependent variable:</i>			
	Agreed to Discuss Collaboration			
	(1)	(2)	(3)	(4)
Intercept	0.15*** (0.01)	0.04*** (0.01)	0.01 (0.04)	0.01 (0.03)
Ranking Condition	-0.08*** (0.01)	-0.08*** (0.02)	-0.07 (0.04)	-0.05 (0.04)
Numeric Rank			0.0000 (0.0000)	
Middle Rank Tercile				0.06 (0.04)
Top Rank Tercile				0.04 (0.04)
Ranking Condition X Numeric Rank			-0.0000 (0.0000)	
Ranking Condition X Middle Rank Tercile				-0.07 (0.06)
Ranking Condition X Top Rank Tercile				-0.03 (0.06)
Matched Pair FE	No	Yes	Yes	Yes
Observations	2,482	2,482	2,482	2,482
R ²	0.02	0.52	0.52	0.53

Note:

*p<0.05; **p<0.01; ***p<0.001

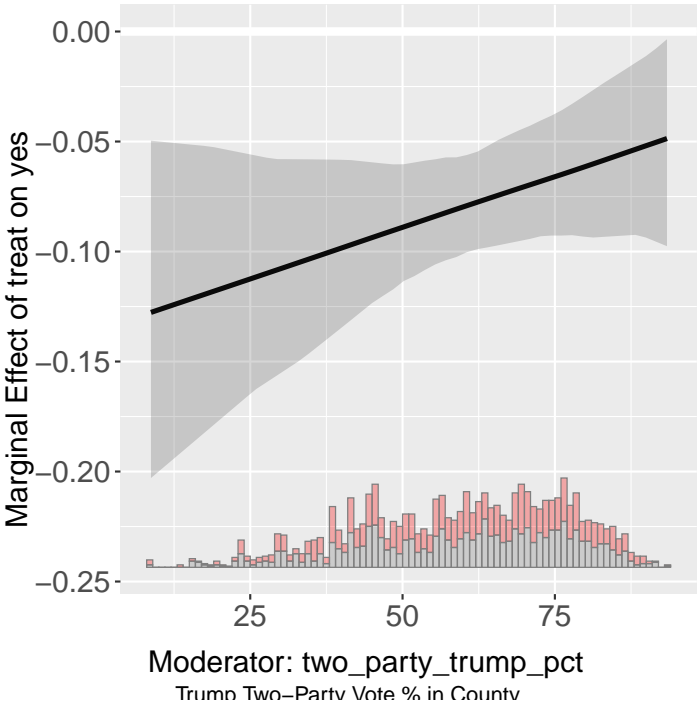
Table E5: Trump Two Party Vote Share and Agreement

	<i>Dependent variable:</i>
	Agreed to Discuss Collaboration
Intercept	0.08 (0.04)
Ranking Condition	-0.09* (0.04)
Middle Trump Vote Share Tercile	0.01 (0.05)
Top Trump Vote Share Tercile	-0.07 (0.05)
Ranking Condition X Middle Trump Vote Share Tercile	-0.03 (0.06)
Ranking Condition X Top Trump Vote Share Tercile	0.05 (0.05)
Matched Pair FE	Yes
Observations	2,482
R ²	0.53

Note:

*p<0.05; **p<0.01; ***p<0.001

Figure E1: **County Trump Vote Share Does Not Condition Response to Treatment.** The figure shows the marginal effect of the agency performance cue in the national experiment conditional on the two party Trump vote share in each county. To relax functional form assumptions, the marginal effect was computed across the range of the moderator using the flexible kernel estimator recommended in Hainmueller, Mummolo and Xu (2019). The stacked histogram along the bottom of the figure shows the distribution of treatment assignment at various levels of the moderator. The effect of the performance cue among counties with low, medium and high levels of Trump support are statistically indistinguishable.



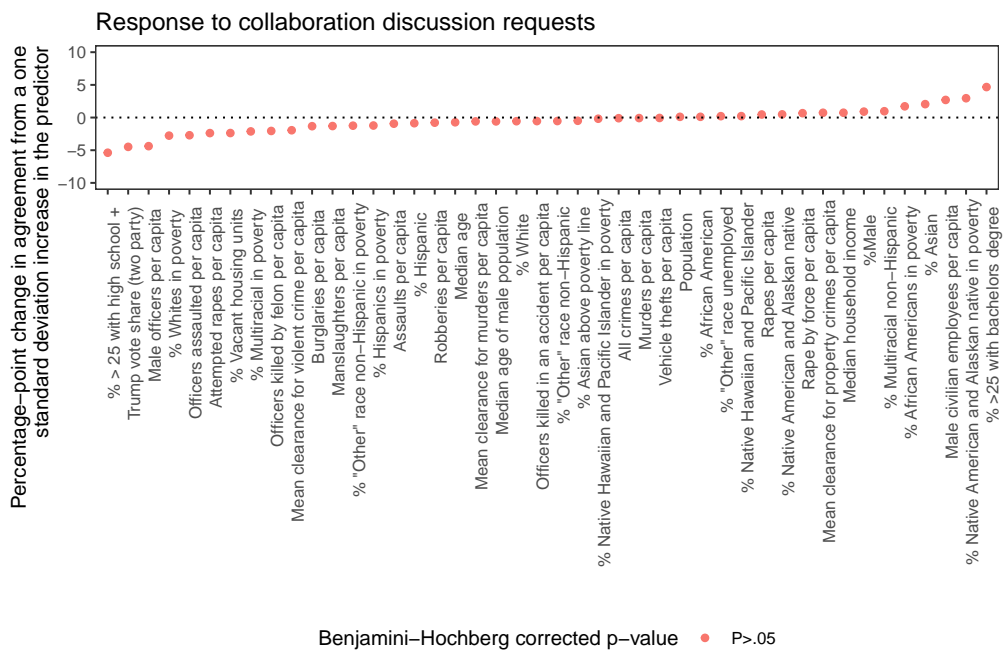


Figure E2: Alternative Figure 2: Using Data from All Agencies

F6 Pre-registration plan for national experiment

Note: In our pre-analysis plan, we also planned on examining an alternate coding of the dependent variable in which agencies who indicated they were already collaborating with another research team were coded as responding affirmatively. We omit this specification because these responses only occurred twice.

EGAP Registry Form Schema

Note from EGAP: while the standard workflow is down, this form replaces the registration form on egap.org. For this alternate workflow, the time/date that your email is sent will become the timestamp for your registration. It may still take up to three business days to review, upload, and post your submission, but the timestamp will be locked in as described.

B1 Title of Study – Determinants of Academic Collaborations with Law Enforcement Agencies

B2 Authors

<anonymized for review>

B3 Acknowledgements –

B4 Is one of the study authors a university faculty member? – multiple choice (SELECT ONE)

Yes

B5 Is this Registration Prospective or Retrospective? – multiple choice (SELECT ONE)

Registration prior to realization of outcomes

B6 Is this an experimental study? – multiple choice (SELECT ONE)

Yes

B7 Date of start of study – 09/16/2019

B8 Gate date – 09/16/2020

B9 Was this design presented at an EGAP meeting? – multiple choice (SELECT ONE)

No

B10 Is there a pre-analysis plan associated with this registration? – multiple choice (SELECT ONE)

Yes

For the next three fields (C1-C3), the response box is a long answer plain text box. Please try to limit your response to 300 words at most, and use your pre-analysis plan to elaborate further if necessary. Also, the plain text field limits formatting, so please do not include bullet point lists with multiple indentations, footnotes, tables, images, or other complicated formatting.

C1 Background and explanation of rationale – Police agencies are increasingly partnering with academic researchers to scientifically evaluate the effects of various police tactics and reforms in order to lower crime rates, improve the quality of police-citizen interactions and reduce the rate of police brutality, among other goals. But researchers have long suspected that the results of such collaborations suffer from selection bias: agencies which are in the most need of reform may be less likely to collaborate with researchers, while agencies that are performing well may be eager to advertise their performance via academic collaborations. This study will use large-scale field experiments to evaluate whether such selection bias exists and if so, what causes it and how it can be mitigated.

C2 What are the hypotheses to be tested/quantities of interest to be estimated? –

We predict that there will be a relationship between an agency's response and the agency's performance on measures of crime. We seek to measure the size of this bias. This is an extension of a successful pilot study (conducted with roughly 450 agencies New Jersey). We extend the design in two ways. First, we seek to replicate our findings with a different measure of performance (violent crime clearance instead of use of force). Second, we seek to replicate our results on a national sample.

This study will make use of an original email list of police administrators/agencies in the United States. The list is an augmented version of the 2016 Law Enforcement Agency Roster (LEAR), a federal survey of every law enforcement agency in the United States. The

list contains basic information on over 15,000 state and local agencies, including mailing addresses, but does not include the names of administrators (police chiefs and sheriffs) or email addresses. We have linked these data with the FBI's Uniform Crime Report. This allows us to rank agencies on their violent crime clearance rate (the ratio of closed violent crime cases to total violent crimes).

In our pre-test we identified an effect of our main treatment relative to our control, which alerted agencies to their statewide ranking on the measure of uses of force per officer. This treatment lowered response rates by approximately 8 percentage points. We anticipate another negative effect by alerting agencies to violent crime clearance rates.

To maximize power we will generate a national sample of matched pairs of agencies, one of which will be randomly assigned to receive the control version of our invitation and one which will receive the treatment version. These pairs will be matched on state and jurisdiction size (population, binned in quartiles). The universe of agencies will be local police and sheriff's agencies (excluding those in U.S. territories) who recorded a violent crime clearance rate between 0 and 1 inclusive, and those which recorded non-missing data on needed variables. Based on a power analysis, we will send messages to a national sample of approximately 2,500 agencies that will exclude NJ.

Following our pretest we will then send emails and (if needed) posted letters to the sampled agencies. The content of these emails/letters are attached.

We will also pair each agency with publicly available data on crime, police-involved shootings and administrative data such as agency budgets and staff sizes in order to see how responses to our email vary with these metrics for a purely descriptive analysis.

Experimental conditions:

1. Control message:

See attached.

2. Performance Treatment:

This condition add texts on the performance of the agency closing violent crime cases relative to other American police departments. See attached.

Mode and protocol for contact:

We will consider two modes of contact: email and post.

We will send three emails spaced eight days apart. The first email will be sent on a Monday, then a Tuesday and finally on a Wednesday. To mitigate concerns that these emails will be trapped by SPAM filters we will send the messages from a <anonymized for review> SMTP server and will space each message by a randomly drawn period [500 milliseconds - 20,000 milliseconds].

If an agency does not respond to these emails we will send the content of the assigned email by mail. The posted letter will be sent on <anonymized for review> letterhead.

Dependent measures:

There will be three primary dependent measures:

1. Each email/letter will include links indicating a willingness to participate in the project.
2. After clicking on the “yes” or “more information” links respondents will be taken to a webpage with additional information on the project. They will be able to send us text on what they would like to gain from a collaboration.
3. Respondents can also directly respond to our emails/letters. We will record the text of these responses.

C3 How will these hypotheses be tested? –

1. We will compute the average treatment effect of our experimental intervention by comparing the rate of affirmative responses among those in the treatment arm to the same rate in the control arm via OLS, conditional on a set of dummy variables for each matched pair. Affirmative responses will be coded in two ways: 1) Those indicating “yes” and 2) Those either indicating “yes” or those who decline but indicate the reason is that they are already collaborating with another institution on research.

2. We will estimate an interaction model which adds to the specification in 1. a variable

measuring how each agency ranks nationally on violent crime clearance rates as well as a multiplicative term interacting this rank variable and the treatment indicator. Our expectation is that poorly ranked agencies will respond more negatively to treatment than well-ranked agencies, since the treatment will inspire greater concern over reputational damage among those low-ranked group. We will use the procedures described in Hainmueller, Mummolo and Xu (2019) to estimate this continuous interaction.

C4 Country – U.S.A.

C5 Sample Size (of Units) – approximately 2,500

C6 Was a power analysis conducted prior to data collection? – multiple choice (SELECT ONE)

Yes

C7 Has this research received Institutional Review Board (IRB) or ethics committee approval? – multiple choice (SELECT ONE)

Yes

C8 IRB Number – <anonymized for review>

C9 Date of IRB Approval – 09/13/2019

C10 Will the intervention be implemented by the researcher or a third party? If a third party, please provide the name. – multiple choice (SELECT AS MANY AS APPLICABLE)

Researchers

C11 Did any of the research team receive remuneration from the implementing agency for taking part in this research? – multiple choice (SELECT ONE)

N/A

C12 If relevant, is there an advance agreement with the implementation group that all results can be published? – multiple choice (SELECT ONE)

N/A

C13 JEL classification(s) – short answer; please provide alphanumeric code(s)

Methodology – select all that apply

Experimental Design

Field Experiments
Statistics
Survey Methodology

Policy – select all that apply
Conflict and Violence
Governance

Certification – indicate agreement

By submitting this form and accompanying documents with EGAP, I confirm that I have rights to put this information in the public domain and I understand that this information will remain on the EGAP registry in perpetuity, regardless of whether the research is subsequently implemented or not.

We agree to these terms.

Confirmation – indicate agreement

You should receive a confirmation of your registration within three business days. Your registration is considered complete only when confirmation is received. If you do not receive confirmation within three business days please contact paps@egap.org. Hitting SAVE at the bottom of this page will submit the registration. Please only do so when you are ready to submit. ONCE YOU HAVE HIT SAVE AT THE BOTTOM OF THIS PAGE PLEASE DO NOT HIT THE BACK BUTTON. Doing so creates multiple registrations, and we will delete all but the most recent. If you accidentally created multiple registrations, please contact paps@egap.org

We agree to these terms.

Note from EGAP: while the standard workflow is down, this form replaces the registration form on egap.org. For this alternate workflow, the time/date that your email is sent will become the timestamp for your registration. It may still take up to three business days to review, upload, and post your submission, but the timestamp will be locked in as described.

Additional Documentation – please attach your pre-analysis plan, survey instrument, or any other files associated with the registration (files must be under 5MB)

G7 Interaction Model Results

We estimated models that interacted treatment assignment in the national experiment with agency rank on violent crime clearance rates. We exclude the N.J. sample from this analysis. Because ranks for the N.J. experiment were computed within the state, they are not interchangeable with ranks in the nationwide sample. The interaction model would also be severely underpowered if we estimated it using only the N.J. sample.

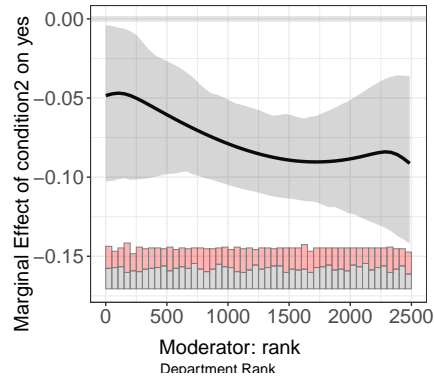
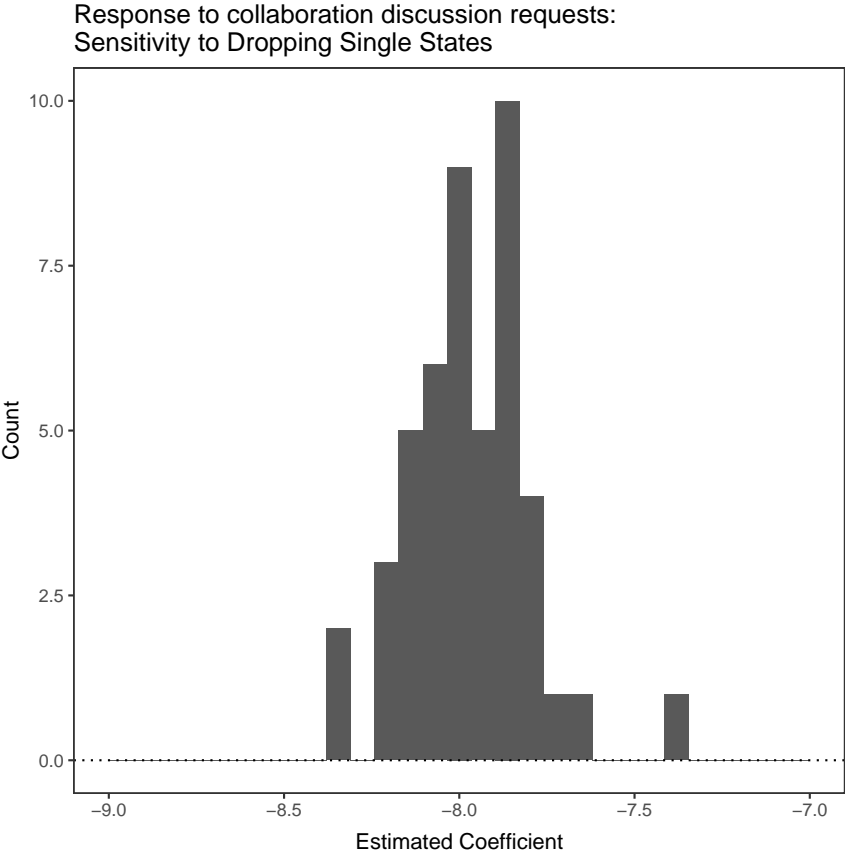


Figure G1: **Agency Performance Does Not Condition Response to Treatment.** The figure shows the marginal effect of the agency performance cue in the national experiment conditional on each agency’s rank on violent crime clearance rates among the $\approx 2,500$ agencies contacted (Hainmueller, Mummolo and Xu, 2019). The stacked histogram shows the distribution of treatment across levels of the moderator. The treatment effect among low, medium and high performing agencies are statistically indistinguishable.

H8 Sensitivity Analysis

Figure H1: **No Single State Drives Our Results.** This figure shows estimates derived from a dataset that iteratively drops one of the states included in the sample. Results are consistent across the 47 models.



H9 Alternative Mechanisms

One drawback of our research design is that we are unable to observe how agencies would have responded had they been contacted by researchers from institutions with different reputations. Our research team is drawn from multiple institutions, alleviating concerns that one particular university’s reputation is driving results. But in the absence of more familiarity with the research team, our invitations, and our performance interventions in particular, could have been viewed with high levels of suspicion, prompting concerns that we lacked objectivity. This interpretation of our invitations would still be broadly consistent with our reputational theory—agencies decline collaborations for fear of negative publicity—albeit through a different channel than intended.

This concern was reinforced when we received an email from an agency in a very conservative state declining our invitation, which stated:

“I have spoken to my administrative staff and all agree we should not participate in your study. Some had reservations on both colleges being ‘liberal’ schools. Thank you.”

For this agency, at least, the partisan reputation of our universities (or perhaps, academia in general) precluded collaboration. If this interpretation were widespread, we would interpret our results quite differently.

This is a reasonable concern, but we do not think the perceived partisanship of the research team is producing these results. If it were, we expect agencies from more conservative areas would decline our invitations at higher rates. But as Figure 2 shows, county-level electoral support for Donald Trump does not predict response ($\beta = -2.20, p = 0.85$). In a separate analysis, we interact treatment in the national experiment with Trump’s county vote share, and find no evidence of heterogeneous effects (see Online Appendix Figure E1 and Table E5).²

These results suggest our findings are not simply due to the perceived partisanship of the research team. The fact that even agencies told they were performing superbly—a cue unlikely to engender mistrust—reacted negatively, also suggests performance evaluation suppresses responses in general. Still, we acknowledge there exist multiple ways in which our messages may have triggered reputational concerns that we cannot distinguish. We discuss the implications of these various mechanisms in the following section.

²This analysis was not pre-registered, but we conduct it anyway in response to aforementioned email and feedback we received from colleagues concerning alternative mechanisms.

I10 Alternative Coding

In the national study a single agency responded by email in a way that could either be interpreted as a “yes” or a request for additional information. Out of an abundance of caution, we coded this agency as a “no” in our primary analysis. Below we show that coding this agency as a “yes” has no meaningful effect on our results.

Table I1: Alternate Coding for National Study

	<i>Dependent variable:</i>			
	Agreed to Discuss Collaboration			
	(1)	(2)	(3)	(4)
Intercept	0.15*** (0.01)	0.04*** (0.01)	0.01 (0.04)	0.005 (0.03)
Ranking Condition	-0.08*** (0.01)	-0.08*** (0.02)	-0.06 (0.04)	-0.04 (0.04)
Numeric Rank			0.0000 (0.0000)	
Middle Rank Tercile				0.07 (0.04)
Top Rank Tercile				0.04 (0.04)
Ranking Condition X Numeric Rank			-0.0000 (0.0000)	
Ranking Condition X Middle Rank Tercile				-0.07 (0.06)
Ranking Condition X Top Rank Tercile				-0.03 (0.06)
Matched Pair FE	No	Yes	Yes	Yes
Observations	2,482	2,482	2,482	2,482
R ²	0.02	0.52	0.52	0.52

Note:

*p<0.05; **p<0.01; ***p<0.001

References

- Census, U.S. 2017. Selected characteristics, 2012-2017 5-Year American Community Survey. Technical report U.S. Census Bureau.
- Data, MIT Election and Science Lab. 2018. “County Presidential Election Returns 2000-2016.”.
- DOJ. 2017. Law Enforcement Agency Roster (LEAR), 2016. Technical report United States Department of Justice, Office of Justice Programs, Bureau of Justice Statistics Ann Arbor, MI: Inter-university Consortium for Political and Social Research [distributor]: . <https://doi.org/10.3886/ICPSR36697.v1>.
- Enamorado, Ted, Benjamin Fifield and Kosuke Imai. 2017. “Using a Probabilistic Model to Assist Merging of Large-scale Administrative Records.” *Working Paper* .
- Gerber, Alan S. and Donald P. Green. 2012. *Field experiments: Design, analysis, and interpretation*. WW Norton.
- Hainmueller, Jens, Jonathan Mummolo and Yiqing Xu. 2019. “How Much Should We Trust Estimates from Multiplicative Interaction Models? Simple Tools to Improve Empirical Practice.” *Political Analysis* 27(2):163–192.
- Kaplan, Jacob. 2019. Jacob Kaplan’s Concatenated Files: Uniform Crime Reporting Program Data: Offenses Known and Clearances by Arrest, 1960-2017. Technical report Inter-university Consortium for Political and Social Research [distributor] Ann Arbor, MI: .
- nj.com. 2019. “The Force Report.”. <https://force.nj.com/>.
- Sullivan, John, Zane Anthony, Julie Tate and Jennifer Jenkins. 2018. “Nationwide, police shot and killed nearly 1,000 people in 2017.” *The Washington Post* . <https://wapo.st/2Q5AAC7>.